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SUBJECT: Grievance Procedure for Faculty

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31 32 **Introduction**

It is important that members of the faculty be treated fairly in decisions affecting academic standing and conditions of employment. Faculty members who believe that they have been treated unfairly or denied due process in such a decision have the right to resort to the Grievance Procedure for Faculty.

Faculty who feel they are being discriminated against because of race, color, sex, ethnicity, religion, age, creed, marital status, veteran's status, disability, or sexual orientation also have a right to file a complaint in accordance with the policy statement on Affirmative Action/Equal Employment Opportunity (PS No 29), Equal Opportunity Policy (PM 55), or Title IX Violation (PS 30) or Title IX and Sexual Misconduct Policy (PM 73). In an event where the grievance may be considered a Title IX/AA/EEO violation the grievance shall be referred to the Title IX/AA/EEO officer immediately.

A grievance consists of an allegation by a faculty member¹ of unfair treatment in decisions made by a program director, division head, or other academic administrator that adversely affect the grievant's academic standing or conditions of employment. Grievances may result from, but are not limited to, decisions affecting tenure, promotion, salary adjustments, and teaching responsibilities.

The Grievance Procedure for Faculty is designed to ensure the observance of due process in academic personnel matters. Due process is a clear, orderly, fair system of procedures designed to produce the best possible judgments. While the judgment of colleagues within the department and of administrators (including division heads) regarding the quality of professional performance may not normally be the subject of grievance, a grievance may allege that a decision was not made in accordance with the letter and intent of established and accepted procedures and criteria. A faculty member may contend, for instance, that an adverse decision was not made on the basis of accepted criteria, either at the department or higher level; or, a faculty member may contend that criteria have been applied in a way different from the application to others in a similar situation.

¹The term faculty member in this Grievance Procedure for Faculty is as defined in the Employee Handbook.

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The Grievance Procedure for Faculty is a serious matter. It is the responsibility of all parties to resolve complaints on personnel actions quickly and at the lowest possible level. The faculty member who contends that treatment has been unfair in personnel actions is obliged to confer informally with the person responsible for making the adverse decision² before filing a formal grievance. A grievant has the right of self-representation at any step in the Faculty Grievance Procedure, and at any formal step may choose to be accompanied by an advisor, legal or personal.

Summary of the Faculty Grievance Procedure

The Grievance Procedure for Faculty consists of three steps: informal consultation, the formal Grievance Procedure for Faculty, and appeal. The process may be terminated at any stage by mutual agreement of the parties involved, or by the grievant's decision not to pursue the matter to the next stage.

A grievant who at any stage in the Grievance Procedure for Faculty fails to file a request for action by the specified deadline has lost the opportunity to proceed, unless an extension of time has been granted. Similarly, a grievant who does not receive a response by the specified deadline may proceed immediately to the next stage, unless the administrator has been granted an extension of time.

Beginning with the faculty member's "written request for a written explanation" (as specified in the second paragraph under Informal Consultation), either party to the grievance may present a written request to the Faculty Grievance Committee for an extension of time (for an additional ten (10) days because of documented hardship such as personal illness, family emergency, or official absence from campus. The Faculty Grievance Committee should promptly respond in writing to the request for an extension of time. In the absence of a written response from the Faculty Grievance Committee, it is to be assumed that the original deadlines apply.

For all time limits, "day" means a day when classes or examinations are scheduled and held in accordance with the official academic calendar of the University, excluding Saturdays and Sundays. Moreover, if the document specifies that a party must act within ten (10) days of receiving a response, the day on which the response is received is not to be counted as one of the ten (10) days.

Informal Consultation

At a minimum this stage requires that the faculty member present concerns to the appropriate

²If the administrator responsible for making the decision is not available, whether due to death, disability, or resignation, then the grievance will be addressed to that person's administrative superior. It should not be assumed that an alleged injury is automatically rectified by the absence of the person responsible for the injury.

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administrator within 90 days of the date the faculty member was informed (or can reasonably be expected to have known) of the event which occasioned the grievance. In return, the administrator should explain the reasons for the decision and the criteria employed. Both parties should treat these discussions as an opportunity to settle the matter.

If, after informal discussion, the faculty member continues to believe that the treatment has been unfair and wishes to pursue the matter, the faculty member should file with the administrator a written request for a written explanation of the adverse decision. The request must include the faculty member's specific questions and concerns that constitute the basis for the complaint. A copy of this request shall also be sent to the next level in the chain of authority. The request must be made within 60 days from the date of the informal consultation. The right to request an explanation within 60 days of a decision, and to pursue the Grievance Procedure for Faculty to its conclusion, is assured to the grievant even if no longer an employee of the University. The administrator must respond to this request in writing within ten (10) days, stating the reasons for making the contested decision and explaining the procedures, standards, and criteria used in arriving at the decision. A copy of the administrator's response shall be forwarded to the next level in the chain of authority.

If no response is received after ten (10) days or if after receiving this response, the faculty member feels that an unfair judgment has been rendered or that due process has been denied, or if the faculty member is not satisfied with the response, the faculty member may within ten (10) days bring the grievance to the Vice Chancellor for Academic Affairs.³ The Vice Chancellor for Academic Affairs will have ten (10) days to arrive at a resolution to the faculty member's concern. The Vice Chancellor's recommendations should be communicated in writing to the person filing the grievance and the person against whom the grievance is filed.

If no response is received after ten (10) days or if, after receiving this response, the faculty member still feels that an unfair judgment has been rendered or that due process has been denied, the faculty member may file, within ten (10) days, a formal grievance with the LSU Eunice Faculty Grievance Committee.

The Faculty Grievance Committee

The LSU Eunice Faculty Grievance Committee will consist of one tenured faculty member (whose duties are not primarily administrative) from each academic division and the library, elected at the beginning of the fall semester. Each academic unit shall also elect an alternate to serve in the event that a committee member is involved in a grievance or is unable to fulfill his/her obligations. In the event that an academic unit contains only one tenured non-administrative faculty member, the Executive Committee of the Faculty Senate, in consultation

³This stage will be omitted if the grievance is against the Chancellor or the Vice Chancellor for Academic Affairs.

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with the faculty of the unit, will appoint an alternate from another academic unit. No person with a pending grievance can participate in the election of members of the Faculty Grievance Committee, nor be elected or appointed to serve on the committee.

The Faculty Grievance Committee will elect a chair from among its own members. In the event that the committee is hearing a case involving a faculty member from the same academic unit as the committee chair, the committee will select another chair to serve for the duration of the case.

The Formal Grievance Procedure for Faculty

To begin the formal grievance procedure, a petition must be filed with the LSU Eunice Grievance Committee. The petition will set forth in detail the nature of the grievance and will state against whom the grievance is directed. It will contain any factual or other data which the petitioner deems pertinent to the case. Statistical evidence of improper discrimination, including discrimination in salary, may be used in establishing a *prima facie* case.

Copies of all documents of the formal grievance procedure (beginning with the formal grievance) shall be delivered to the Chancellor's Office. This will include the grievant's petition as well as the initial request for explanation and the written responses received from the administrator against whom the grievance is filed and the Vice Chancellor for Academic Affairs. The grievant will be responsible for making copies of the documents for the Grievance Committee and the administrator against whom the grievance is filed; the Chancellor's Office will notify them of the documents' availability. The Chancellor's Office shall also keep a log of the dates of receipt and delivery of these documents.

If the grievant desires to send copies of the documentation directly to the Grievance Committee, in addition to depositing them at the Chancellor's Office, then the documents must be sent by mail. Neither party to a grievance should have any communication regarding the grievance with members of the Grievance Committee except at the committee's request. This prohibition also applies to advisors of the person filing the grievance and to administrative superiors of the person against whom the grievance was filed. When the Grievance Committee receives documents from either party to the grievance, it shall immediately notify the other party and, unless legally prohibited, make copies of the documents available to all parties.

Submission of a petition will not automatically entail investigation or detailed consideration thereof. The Grievance Committee, at its discretion, may invite either or both parties to present additional material, either personally or in writing. The committee may also request legal opinions from LSU system attorneys through the appropriate institutional officer.

It is the duty of the committee to determine, within ten (10) days, whether the grievant has been denied due process or treated unfairly and to make recommendations to the Chancellor. If,

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148 however, the committee needs additional time for its investigation, it may take an additional ten 149 (10) days by notifying both parties in writing. Copies of the committee's recommendations shall 150 be sent to the grievant and the administrator against whom the grievance was filed. 151 152 If the committee takes no action within the specified or extended time, the petition will 153 automatically go forward to the Chancellor without recommendation. Once the Chancellor 154 receives the recommendation of the committee (or the original petition without 155 recommendation), he must respond to the grievant within ten (10) days. For most substantive 156 issues, the Chancellor shall be the final arbiter in the faculty grievance procedure. If the grievant 157 is not satisfied with the Chancellor's response, or if the Chancellor fails to respond within ten 158 (10) days, the grievant may appeal to the President of LSU, through the Provost. The appeal should clearly identify the basis for the appeal and any errors or omissions that may have 159 160 occurred.